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PATENT
03485-P0002A WWW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	Jocelyn Tristram Gervais Wood
Serial No. 09/933,090	Filing Date: August 20, 2001
Title of Application:	Data Processing System For Initiating And Administering Financial Products
Confirmation No.	Group Art Unit: 2164
Examiner	

Assistant Commissioner for Patents
Washington, DC 20231

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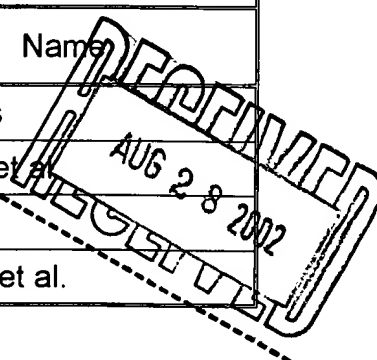
Information Disclosure Statement by Applicant

GROUP 3600

Dear Sir:

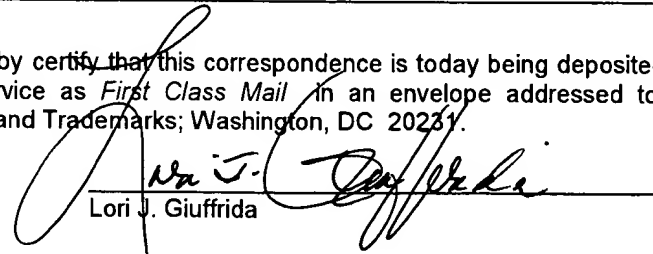
As a means of complying with duty of disclosure set forth in 37 CFR §1.56, Applicant lists the following references (copies of the listed patents and papers enclosed).

U.S. Patent Documents				
Exam. Initials	Class/ Subclass.	Document No.	Date	Name
	364/200	4,194,242	03/18/80	Robbins
	395/236	5,689,649	11/18/97	Altman et al.
	395/238	5,742,775	04/21/98	King
	705/38	5,745,885	04/28/98	Mottola et al.



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U.S. Patent Documents				
Exam. Initials	Class/ Subclass.	Document No.	Date	Name
	705/38	5,809,484	09/15/98	Mottola et al.

Foreign Patent Documents			
Exam. Initials	Document No.	Date	Country
	DE 41 36 320	94/03/04	Germany
	WO 98/13779A1	97/09/27	PCT
	WO 98/43187	98/10/01	PCT

The listed patents pertain in a general way to the subject matter of the application, but are not necessarily considered to be analogous prior art.

Respectfully submitted,

August 20, 2002


Wesley W. Whitmyer, Jr., Registration No. 33,558
Todd M. Oberdick, Registration No. 44,268
Attorneys for Applicant
ST.ONGE STEWARD JOHNSTON & REENS LLC
986 Bedford Street
Stamford, CT 06905-5619
203 324-6155

Date Considered

Examiner